DIUS Rec'U PCT/PTO

PTO-1390 (Rev. 12-2004) Approved for use through 3/31/2007. OMB 0651-0021

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 16518.156

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
10/518752 **CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US2003/019441 20 June 2003 (20.06.2003) 21 June 2002 (21.06.2002) TITLE OF INVENTION Thioesterase-Related Nucleic Acid Sequences and Methods of Use for the Production of Plants with Modified Fatty Acid Composition APPLICANT(S) FOR DO/EO/US Katayoon DEHESH et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US); however, a courtesy copy is attached. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. A have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). Other items or information: Copy of Replacement Sheets 42-46 containing Article 34 amendments to the claims (filed on December 16, 2004, with Letter Transmitting Replacement Sheets Containing Amendment to the Claims under PCT Article 34); and

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450 Alexandria, VA 2313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Statement Regarding Sequence Submission

## DT05 Rec'd PCT/PT0 2 1 DEC 2004

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/US2003/019441 16518.156 21. The following fees are submitted: Applicant use Office use only a) Basic national fee......\$300.00 300.00 b) Examination fee.......\$200.00 200.00 c) Search fee ......\$500.00 500.00 TOTAL OF ABOVE CALCULATIONS = \$ 1,000.00 \$1000.00 Additional fee for specification and drawings filed in paper over 100 sheets (including sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction thereof **Total Sheets** Extra Sheets **RATE** (round up to a whole number) -100 =0 / 50 = x \$250.00 65 0 \$ 0.00 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest 130.00 claimed priority date (37 CFR 1.492(e)). CLAIMS NUMBER FILED **NUMBER EXTRA RATE** \$50.00 Total claims 30 -20 =10 500.00 X Independent claims 3 - 3 = \$200.00 600.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360.00 **TOTAL OF ABOVE CALCULATIONS =** \$ 1,100.00 \$ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. SUBTOTAL = \$ 2,230.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(f)). **TOTAL NATIONAL FEE =** \$ 2,230.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.21). \$40.00 per property **TOTAL FEES ENCLOSED =** \$ 2,230.00 Amount to be refunded: Amount to be \$ charged A check in the amount of \$\_\_\_ to cover the above fees is enclosed. Please charge my Deposit Account No. 50-2387 in the amount of \$\(\frac{2,230.00}{}\) to cover the above fees. A duplicate copy of this sheet is b. enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2387. A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: ARNOLD & PORTER LLP **SIGNATURE** Attn: IP Docketing 555 Twelfth Street, N.W. December 21, 2004 Thomas E. Holsten/David R. Marsh Filed: Washington, DC 20004 **NAME** Tel.: 202-942-5000 46,098/41,408 Fax: 202-942-5999 **REGISTRATION NUMBER** 

## ARNOLD & PORTER LLP

Thomas E. Holsten
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555 Twelfth Street, NW Washington, DC 20004-1206

December 21, 2004

Mail Stop PCT Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re: U.S. National Phase Application based on

Int'l Appln. No. PCT/US2003/019441 filed 20 June 2003

Earliest Priority Date: 21 June 2002 Inventor: Katayoon DEHESH *et al.* 

Title: Thioesterase-Related Nucleic Acid Sequences and Methods of Use

for the Production of Plants with Modified Fatty Acid Composition

Atty Docket: 16518.156

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office (PTO):

- Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing under 35 U.S.C. 371 (PTO-1390), in duplicate;
- 2. U.S. National Phase Application entitled:

Thioesterase-Related Nucleic Acid Sequences and Methods of Use for the Production of Plants with Modified Fatty Acid Composition

and naming as inventor:

## Katayoon DEHESH, and Vic C. KNAUF;

the application consisting of:

- a. specification containing:
  - (i). <u>1</u> page of bibliographic data and published abstract from WO 04/001001 A2 (publication of Int'l Appln. PCT/US03/19445);
  - (ii). 41 pages of a description prior to the claims; and
  - (iii). 4 pages of claims;

Commissioner for Patents Atty. Dkt.: 16518.162 December 21, 2004 Page 2

- b. <u>11</u> pages of a sequence listing; and
- c. 8 sheets of Drawings (Figures 1-8);
- 3. Floppy diskette containing sequence listing in computer-readable form;
- 4. Statement Regarding Sequence Submission;
- 5. Copy of Replacement Sheets 42-46 containing Article 34 amendments to the claims (filed on December 16, 2004, with Letter Transmitting Replacement Sheets Containing Amendment to the Claims under PCT Article 34);
- 6. Preliminary Amendment (with amended claims 30 claims); and
- 7. Two (2) return postcards.

This application claims priority to U.S. Application No. 60/390,185 filed June 21, 2002.

Submitted herewith is a paper copy of the sequence listing and a floppy diskette containing the sequence listing in Computer Readable Form (CRF). The enclosed floppy diskette contains one file called "16518128 Seq Listing.txt" which is 19,552 bytes in size (measured in MS-DOS) and was created on June 20, 2003.

Please stamp one of the two attached postcards with the filing date of these documents and return it to our courier, and stamp the other prepaid postcard with the filing date and unofficial application number and return it as soon as possible.

This application is being submitted without an executed Declaration. Authorization to charge the filing fees to counsel's deposit account is given in the accompanying Form PTO-1390. Applicants do not believe any additional fees, other than those provided for on the accompanying Form PTO-1390 are due in conjunction with this filing. However, if any fees are required in the present application, including any fees for extensions of time, authorization is given in the accompanying Form PTO-1390 to charge such fees to counsel's deposit account.

Sincerely,

Thomas E. Holsten (Reg. No. 46,098)

David R. Marsh (Reg. No. 41,408)

Enclosures